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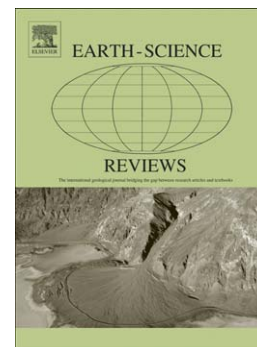
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# Tolerable versus actual soil erosion rates in Europe

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## Abstract

Erosion is a major threat to soil resources in Europe, and may impair their ability to deliver a range of ecosystem goods and services. This is reflected by the European Commission's Thematic Strategy for Soil Protection, which recommends an indicator-based approach for monitoring soil erosion. Defined baseline and threshold values are essential for the evaluation of soil monitoring data. Therefore, accurate spatial data on both soil loss and soil genesis are required, especially in the light of predicted changes in climate patterns, notably frequency, seasonal distribution and intensity of precipitation. Rates of soil loss are reported that have been measured, modelled or inferred for most types of soil erosion in a variety of landscapes, by studies across the spectrum of the Earth sciences. Natural rates of soil formation can be used as a basis for setting tolerable soil erosion rates, with soil formation consisting of mineral weathering as well as dust deposition. This paper reviews the concept of

tolerable soil erosion and summarizes current knowledge on rates of soil formation, which are then compared to rates of soil erosion by known erosion types, for assessment of soil erosion monitoring at the European scale.

A modified definition of tolerable soil erosion is proposed as ‘any actual soil erosion rate at which a deterioration or loss of one or more soil functions does not occur’, actual soil erosion being ‘the total amount of soil lost by all recognised erosion types’. Even when including dust deposition in soil formation rates, the upper limit of tolerable soil erosion, as equal to soil formation, is ca.  $1.4 \text{ t ha}^{-1} \text{ yr}^{-1}$  while the lower limit is ca.  $0.3 \text{ t ha}^{-1} \text{ yr}^{-1}$ , for conditions prevalent in Europe. Scope for spatio-temporal differentiation of tolerable soil erosion rates below this upper limit is suggested by considering (components of) relevant soil functions. Reported rates of actual soil erosion vary much more than those for soil formation. Actual soil erosion rates for tilled, arable land in Europe are, on average, 3 to 40 times greater than the upper limit of tolerable soil erosion, accepting substantial spatio-temporal variation. This paper comprehensively reviews tolerable and actual soil erosion in Europe and highlights the scientific areas where more research is needed for successful implementation of an effective European soil monitoring system.

Key words: erosion tolerance; soil formation; climate change; soil protection; monitoring; dust deposition

## 1. Introduction

### 1.1 General

Soil loss occurs mostly through physical pathways but can also occur as a result of biochemical processes, including weathering of mineral particles in soil, which is known as chemical denudation. Removal of particles or even small aggregates from the in situ soil system then takes place in suspension or solution, as bed load or by gaseous export. Organic soil material is lost mainly through decomposition processes, except in the case of peat erosion where organic particles are removed and transported by water or wind. Physical pathways of soil loss predominate and fall within the domain of soil erosion, which is defined as “the wearing away of the land surface by physical forces such as rainfall, flowing water, wind, ice, temperature change, gravity or other natural or anthropogenic agents that abrade, detach and remove soil or geological material from one point on the earth's surface to be deposited elsewhere” (Soil Science Society of America, 2001; Jones et al., 2006, p.24-5). With respect to soil degradation, most concerns about erosion are related to ‘accelerated soil erosion’, where the natural (or ‘normal’, or ‘geological’) rate has been increased significantly by human activity.

The cause and extent of accelerated soil erosion are influenced by a number of factors (Morgan, 2005) and the most significant are:

- soil erodibility or susceptibility to erosive forces, as determined by soil physical, chemical and biological properties (Chepil, 1950; Bryan, 1968; Wischmeier and Mannering, 1969; Aspiras et al., 1971; Wischmeier et al., 1971; Tisdall and Oades, 1982; Rauws and Govers, 1988; Forster, 1989; Chenu, 1993; Oades, 1993; Marinissen, 1994; Edgerton et al., 1995; Le Bissonnais, 1996; Degens, 1997; Ketterings et al., 1997 ; Kiem and Kandeler, 1997; Hallett and Young, 1999; Czarnes et al., 2000; Doerr et al., 2000;

Scullion and Malik, 2000; Boix-Fayos et al., 2001; Ritz and Young, 2004; Allton, 2006; Shakesby and Doerr, 2006)

- erosivity or energy of the eroding agent, e.g. rainfall, overland flow or wind (Wischmeier and Smith, 1958; Skidmore and Woodruff, 1968; Fournier, 1972; Zachar, 1982; Morgan et al., 1986; Knighton, 1998)
- slope characteristics, gradient, length and form (Zingg, 1940; Musgrave, 1947; Kirkby, 1969; Horváth and Erödi, 1962; Chepil et al., 1964; Meyer et al., 1975; D'Souza and Morgan, 1976; Wischmeier and Smith, 1978)
- land cover use and management (Wischmeier and Smith, 1978; Wiersum, 1979; De Ploey, 1981; Dissmeyer and Foster, 1981; Laflen and Colvin, 1981; Foster, 1982; Temple, 1982; Lang and McCaffrey, 1984; Armstrong and Mitchell, 1987; Quinton et al., 1997; Lal, 2001; Gyssels et al., 2005; Zhang et al., 2007)

This paper reviews the dominant causes and rates of soil loss that occur in Europe via the process of detachment (e.g. water, wind, tillage, crop harvesting and land levelling), and subsequent transport and deposition of the detached soil material.

Whilst all pathways of soil loss need to be considered and monitored carefully, once detachment of soil particles occurs, the functionality of the remaining soil is impaired to a greater or lesser extent depending on the amount of soil lost. Thus prevention of the detachment phase of the erosion process (Meyer and Wischmeier, 1969) is crucial if the functionality of the soil system is to be safeguarded for future generations.

This review focuses on erosion of mineral soils in Europe, because this is the dominant type of soil loss on the continent (Boardman and Poesen, 2006). Mineral soils are here defined as those that consist predominantly of, and have properties mainly determined by, mineral matter, and usually contain less than 20% organic carbon (SSSA, 2001). Relatively recent research (Holden and Burt, 2002; McHugh et al., 2002; Holden, 2005) has shown that erosion processes also account for substantial losses from organic soils, for example by piping and gullyng in peatlands. However, organic soils are far less extensive than mineral soils in Europe (Montanarella et al., 2006) and constitute a different eco-system; thus consideration of their erosion is not included in this paper.

## 1.2 Scale

Soil erosion research has considered various spatial and temporal scales at which the different erosion processes operate. The experience and knowledge gained from these studies is generated by, and serves, a very wide audience, ranging from developers of sub-process, physically based erosion models, such as EUROSEM (Morgan et al., 1998) and WEPP (Nearing et al., 1989), through to regional planners and policy makers. Ciesiolka and Rose (1998) observe that smaller scale studies tend to focus on ‘on-site’ impacts of soil erosion, whilst larger spatial-scale studies concentrate on the ‘off-site’ impacts.

## Table 1

The temporal scale variation in erosion processes is implicit in Table 1, with small spatial scale processes such as raindrop impact occurring in fractions of seconds, and

catchment scale processes usually being monitored over much longer time scales (i.e. seasons, years, decades or even geological timescales). Sediment delivery ratios are also time-dependent, ranging from effectively no sediment delivered at the exact moment of detachment to sediment delivery ratios at the catchment scale approaching 100% over geological timescales (van Rompaey et al., 2005).

The comparison of, and connectivity between different spatial and temporal scales is a major challenge in erosion research currently. This complex spatio-temporal process and the lag times involved, make it intrinsically difficult to compare directly a series of plot scale measurements with data generated for the whole catchment. The results of soil loss and sediment delivery obtained at one spatial scale cannot and should not be extrapolated to another (Walling, 1990; de Vente and Poesen, 2005).

Simple ‘scaling up or down’ of erosion rates is not possible (Pierson et al., 1994). According to van Noordwijk et al. (1998), there are no ‘scaling rules’ in erosion research. It appears that the mean value of erosion per unit area will change at different spatial scales, all other factors being equal. At small spatial scales (e.g. individual aggregate), better control of variables, ease of replication and understanding of erosion mechanisms can be gained, but such fragmenting or deconstructing of processes may exclude many of the factors affecting the true rates of erosion (e.g. slope topography) as observed at a larger spatial scale in the field. On small plots, the process of rainsplash detachment (especially) and transport will dominate erosion rates, due to the limited slope lengths over which erosive overland flow can generate. It follows that certain erosion processes such as gully erosion or mass movements cannot be simulated at small spatial scales, but they may dominate at larger scales. As spatial scale

increases, overland flow becomes the dominant agent of erosion, but different experimental conditions have shown rates of erosion per unit area to both increase and decrease with increasing slope length (Zingg, 1940; Meyer et al., 1975; Abrahams et al., 1991; Smith and Quinton, 2000). Morgan (2005) states “with such a great range of possible conditions, a single relationship between soil loss and slope length cannot exist”. Also, plot boundary / edge effects on erosion processes and rates are proportionately more significant at smaller spatial scales.

To improve understanding of the effect of spatial scale on erosion processes, the links or connectivity between different scales can be studied by applying experimental methods which encompass a range of spatial scales simultaneously. There has been some work on converting field-scale to catchment-scale erosion data, based on the concept of sediment delivery ratios (Osterkamp and Toy, 1997; Walling, 1983, 1990). Hudson (1993) reports on the ‘nested catchments’ approach in soil erosion research, which was developed from biological research methods, investigating biodiversity and species richness at different scales. Turkelboom and Trebil (1998) developed a methodology for erosion process analysis at the field, farm and catchment scales, and ways of linking these different scales. Their multiscale approach involves the physical, economic and social aspects affecting erosion. Kirkby (2001) describes the hierarchical MEDRUSH model, which simulates erosion and runoff processes operating at a scale of  $1 \text{ m}^2$  in the first instance. These results are then ‘nested’ or ‘embedded’ within representative ‘flow strips’ of up to 100 m wide, oriented up/down the slope. Water and sediment generated at this scale are then ‘routed’ via computed linear transfer functions into the sub-catchment scale ( $1\text{--}10 \text{ km}^2$ ). Output from this scale then feeds the main catchment-scale channel network, which may be up to

2500 km<sup>2</sup> in area. Kirkby (2001) argues that MEDRUSH demonstrates that ‘coarse and fine scaled models can be linked together consistently with a sound physical basis’.

Until we understand the connections between the different spatial scales, soil erosion research should encompass as wide a range of scales as possible. This has the multiple benefits of linking soil erosion rates generated at varying spatial scales, supplying knowledge which will be of interest to many parties (from physically based erosion modellers through to policy makers) and identifying if there are any rules to be applied when upscaling or downscaling the results of soil erosion research.

This discussion on the effect of scale on erosion is intended for completeness, but the focus of this paper is on the plot-to-field scale, because this is the position in the landscape at which removal of the in situ soil takes place. As a result, it is here that soil functioning will be most adversely affected by soil erosion.

### 1.3 Consequences, mitigation, costs and monitoring

Soil erosion rates are known to increase significantly following anthropogenic activities such as stripping of natural vegetation, especially clearing of forests for cultivation; other changes in land cover through cultivation or urbanisation and infrastructural development; over-grazing; wildfires or controlled burning; re-sculpturing of the land surface for example terrace construction; inappropriate intensification of land use and management, for example cultivation of steep slopes beyond their inherent ‘capability’ (Klingebiel and Montgomery, 1961) or collapse of terrace structures through poor maintenance (Temple and Rapp, 1972). The

consequences of soil erosion for society can be severe, for example annual costs have been estimated to be £205 million in England and Wales alone (see Table 2) and \$44 billion in the U.S.A. (Pimentel et al., 1995).

Table 2

As Table 2 demonstrates, the costs associated with soil erosion are often categorised into ‘on-site’, i.e. where the soil loss takes place, and ‘off-site’ impacts, the temporary or permanent destination of the eroded sediment. Over time, attitudes have changed with regard to the most damaging effects of soil erosion. Where crop productivity has been a significant driver of soil erosion, the on-site impacts of erosion are paramount through the loss of rooting medium, nutrients, seeds, seedlings, agro-chemicals, organic matter, microbial communities, trace elements and water holding capacity. The production function of soil is likely to become even more important, in view of the projected increase in global human population and consequent demands for food. More than 99% of food supplies (calories) for human consumption come from the land, whereas less than 1% comes from oceans and other aquatic ecosystems (FAO, 2003).

However, where food security is not an issue, or any declines in crop yield can be masked by applications of agro-chemicals, the focus has often been on off-site impacts. These include flooding, often due to deposition of eroded sediments restricting the capacity of water channels to carry peak flows, and reductions in water quality, due to turbidity and preferential transport of contaminants on eroded sediment surfaces, which, in turn, have impacts on aquatic biota (Lloyd, 1987; Lloyd et al.,

1987; Newcombe and Macdonald, 1991; Cooper, 1993). The value of soil in situ (i.e. not eroded) is once again acknowledged (Vandekerckhove et al., 2004), as the concept of soil resources being able to deliver ecosystem goods and services gains acceptance as advocated in the EU draft Soil Framework Directive (European Commission, 2006a,b).

To evaluate the impact of agricultural and other land use policies in Europe, Gobin et al. (2002, 2004) proposed selecting a set of soil erosion indicators that can be calculated objectively, validated against measurements or observations and evaluated by experts. This advice has been heeded in the design of a European soil monitoring system by the ENVASSO project - Environmental Assessment of Soil for Monitoring – funded under the European Commission's 6<sup>th</sup> Framework Programme (Morvan et al., 2008). Indicators for soil erosion proposed for implementation at the first tier (Eckelmann et al., 2006), are: i) estimated soil loss by water via rill, inter-rill and sheet erosion, ii) estimated soil loss by wind erosion, and iii) estimated soil loss by tillage erosion. Each of these indicators can be modelled and is accompanied by a measured indicator of soil loss for calibration and validation of modelled estimates. At the present time, there is no reliable model for estimating or predicting gully erosion in the same way as models for rill and inter-rill erosion (Poesen et al. 2006, p528-30). However, it is likely that advances in remote sensing and data processing technology will allow more reliable and accurate estimation of soil loss as a result of gully erosion in future (Jones et al., 2004).

The clear impact of erosion on society and individuals, combined with the political drive for developing a harmonised European system for monitoring erosion as a threat

to soil, has identified the need for scientifically sound and robust threshold values against which to appraise the monitoring data. This paper sets out to review tolerable soil erosion, as a concept and in rates, for European conditions, and assesses actual soil erosion rates by discussing all (known) types of erosion.

## 2 Tolerable soil erosion rates

### 2.1 Concept

Since soil loss includes the removal of soil material by both physical processes (erosion), and biochemical processes (solute/gaseous export of mineral matter and decomposition of organic matter), the term ‘tolerable soil erosion’ is preferable when referring to soil lost by erosion in the context of soil protection. A number of (near) synonymous terms are used in the literature: ‘soil loss tolerance’, ‘permissible soil loss’, ‘acceptable rates of erosion’, ‘allowable soil loss’, etc. (see Table 3). It is important to note the difference between concept and unit. ‘Tolerable soil erosion’ is a conceptual term, with judgements of affected soil functions etc., that can be quantified in ‘tolerable rates of soil erosion’ with units conventionally in  $\text{t ha}^{-1} \text{ yr}^{-1}$ .

Table 3

Reviewing the different definitions for tolerable soil erosion in the literature (Table 3), two themes emerge. The first interpretation is to view tolerable soil erosion as maintaining the dynamic equilibrium of soil quantity (mass/volume) in any location under any circumstances. The second interpretation takes a functional approach by

relating soil erosion tolerance to the biomass production function of soil. Roose (1996) highlighted difficulties with both interpretations. The first interpretation ignores soil quality by focusing only on soil quantity. The second approach ignores many soil functions by focusing only on the biomass (particularly crop) production function of soil (see also Table 4). In addition, it creates temporal ambiguity: 'a long time', 'indefinitely', 'an extended period of time', and '20-25 years'. Interestingly, the Soil Quality Vocabulary of the SSSA (2001) lists both interpretations, without indicating the conditions under which these should apply.

Both interpretations incorporate value judgements of how much soil erosion human societies should tolerate. The first interpretation judges that it is tolerable to ensure that the rate of soil formation exceeds the rate of soil loss by erosion, but that it is not tolerable for the soil erosion rate to exceed the soil formation rate. The value judgement in the functional approach links the soil erosion tolerated to the performance of one particular soil function, for example the crop production function.

At the end of the Second World War much of Europe was in ruins and crop production systems were destroyed or at best seriously malfunctioning in many areas. International aid, through the Marshall Plan in the 'western' world, focused on food supplies, which were scarce and insecure. It was during this period that the concept of tolerable soil erosion was developed most actively, which may explain the focus on the crop production function of soil. The agricultural surpluses of the 1980s lead in the 1990s to a more comprehensive/holistic concept of soil functions (e.g. Blum, 1993; Sombroek and Sims, 1995; Brady and Weil, 2002; De Groot, 2002; Blum,

2005; Nikitin, 2005; and the European Commission, 2006a,b). These are generally based on five primary soil functions (see Table 4).

Table 4

The need to include the regulation function in establishing tolerable rates of soil erosion was realised by Mannering (1981) and Skidmore (1982), who included it in a function of ‘soil loss tolerance’ (modified from Stamey and Smith, 1964), although only as secondary to the production function. Roose (1996) stated that tolerable soil erosion should consider “respect for the environment in terms of water quality, especially runoff sediments”. Despite these appeals, definitions for tolerable soil erosion that were published later only incorporated the crop production function (see Table 3).

The remaining three soil functions (i.e. information, engineering and habitat) do not appear to have been considered in ‘tolerable soil erosion’ definitions in the literature. This can probably be explained by the relatively recent development of the holistic soil function concept, compared to the development of the tolerable soil erosion concept. Sparovek and De Maria (2003) point out that tolerable soil erosion is the most multidisciplinary field of soil erosion research and that only contemplation of this multi-perspective nature may be successful. It appears, therefore, that the time has come to integrate both concepts. Tolerable soil erosion may then be defined as ‘any mean annual cumulative (all erosion types combined) soil erosion rate at which a deterioration or loss of one or more soil functions (Table 4) does not occur’.

Clearly, this definition still leaves the problem of value judgement and scale: at what stage is a soil function considered to have deteriorated, and at what scale is this assessed? Also, it is a rather negative approach, where action is only required when a tolerable rate of soil erosion in a specific location is reached. This approach also assumes that no technological advances may occur over time, such as the invention of 'super-fertilisers', which could (albeit unsustainably) mask declines in crop yield due to loss of soil through erosion processes. It may be a more effective policy to provide incentives to land owners and managers to ensure that actual soil erosion rates remain much closer to, or preferably equal to or below, the soil formation rate. This would be an exemplary application of the precautionary principle (i.e. to preferably err on the side of caution), and ensure that soil functions were maintained for the benefit of current and future generations.

Rates of soil formation provide an invaluable benchmark to use as a 'basis' for determining tolerable rates of soil erosion, that is soil functions can generally be judged not to deteriorate as long as soil erosion does not exceed 'natural' or 'geological' (or 'normal') erosion rates. At present, this assumption remains largely untested, but applying the precautionary principle appears to be a reasonable starting point. A second assumption is that 'natural' soil erosion rates equate to soil formation rates. This implies a meta-stable situation where all soils are in dynamic equilibrium in terms of quantity (mass/volume). Clearly, young soils or any soil that could accumulate under current conditions, and thereby improve the soil regulation, production, and habitat functions, would not be in dynamic equilibrium. Nevertheless, soil formation rates form the best basis upon which to establish tolerable rates of soil erosion.

346

## 347 **2.2 Current evidence for soil formation rates**

348 The natural process of soil accumulation at any location has been described as soil  
349 production, soil formation, soil genesis, pedogenesis, or soil renewal (Brady and Weil,  
350 2002). The term ‘soil formation’ is used here for reasons of general acceptance, noting  
351 that this includes both dust deposition and parent material weathering.

352

353 Ideally, soil formation models (e.g. Hoosbeek and Bryan, 1992; Minasny and  
354 McBratney, 2001) would have been developed and validated to such an extent that for  
355 any soil type, under any land use, soil management practice, in any region, accurate  
356 estimates of soil formation rates could be derived. Better still would be a degree of  
357 model development that could also estimate soil formation rates for future climate  
358 change scenarios. It is generally acknowledged that ‘natural’ erosion rates have varied  
359 significantly throughout geological history as the climate changed (Wilkinson and  
360 McElroy, 2007). However, fundamental scientific knowledge on soil formation  
361 processes is still insufficient at present to support the use of mechanistic soil  
362 formation models for establishing tolerable rates of soil erosion in the context of  
363 environmental protection. Therefore, the most useful contribution that science can  
364 make to the policy process would be to arrive at a consensus on mean rates of soil  
365 formation and soil erosion.

366

### 367 **2.2.1 Soil formation rates by weathering**

368 Very few direct measurements of soil formation rates are available. This is due in part  
369 to the extremely slow rate of soil formation in relation to the human life span, and  
370 consequent difficulties in accurate field measurement. However, from studies using

different methodologies over different scales, an overall picture of the range of soil formation rates can be built up (Table 5), although differentiation of these rates by dominant factors remains elusive. Mass balance measurement studies have been performed to investigate soil formation rates. Alexander (1988a) determined soil formation rates for 18 small, non-agricultural, non-carbonate substrate watersheds (located in North America, Europe, Australia (Victoria) and Zimbabwe) with shallow to moderately deep soils, by measuring values of silica inputs and outputs and relating these to soil formation. The range for non-peaty soils was from 0.02 to 1.27 (mean=0.49) t ha<sup>-1</sup> yr<sup>-1</sup>. If, and to what extent, these soil formation rates would increase under agricultural land use is not known. Wakatsuki and Rasyidin (1992) used similar geochemical mass balance methodologies on seven elements (Al, Fe, Ca, K, Mg, Na and Si) to calculate soil formation at a global scale as ranging from 0.37 to 1.29 (mean=0.7) t ha<sup>-1</sup> yr<sup>-1</sup>. Much greater rates were calculated for well draining, high precipitation watersheds in southwestern Japan, but environmental conditions there are not typical for the rest of the world. Soil formation rates by weathering in limestone-dominated catchments, or those with a mainly igneous lithology, have been estimated at < 0.1 t ha<sup>-1</sup> yr<sup>-1</sup> (Alexander, 1985). Soil chronosequence studies can be used as an alternative method for deriving soil formation rates, although most appear to focus on processes that are responsible for specific soil parameters rather than overall soil formation rates. See Huggett (1998) and Yoo and Mudd (2008) for discussions of methodological issues of classic soil chronosequence work.

Table 5

Landscape scale ‘soil formation functions’ (i.e. the relationship between soil formation and soil depth) have been derived from studies in the disciplines of geology and geomorphology. Humphreys and Wilkinson (2007) describe a useful overview of this theme and recommend that the basic idea of soil formation may be used for the determination of tolerable soil erosion rates. Heimsath et al. (1997) used measurements of in situ produced cosmogenic  $^{10}\text{Be}$  and  $^{26}\text{Al}$  concentrations with measured soil depths to show an inverse relationship between soil formation rates and soil depth in northern California. Soil formation rates ranged from ca.  $0.39 \text{ t ha}^{-1} \text{ yr}^{-1}$  for deeper soils (ca. 50 cm) to ca.  $0.91 \text{ t ha}^{-1} \text{ yr}^{-1}$  for shallower soil (ca. 5 cm), assuming a bulk density of  $1.3 \text{ t m}^{-3}$ . Shakesby and Doerr (2006) reviewed evidence in the literature of fire weathering, that is where wildfire ‘weathers’ rocks by spalling (detachment of lensoid-shaped rock flakes) and other fracturing effects, and showed that where fires are relatively frequent this may be an important additional weathering process, although erosion rates are likely to increase concomitantly.

Natural soil erosion rates, assumed to be equivalent to soil formation rates (see section 1) when studied over geological time scales, have been estimated by studying continental erosion and sedimentation. Wilkinson and McElroy (2007) gave an exhaustive analysis of rates of subaerial denudation in the Phanerozoic, a period of 542 million years spanning the Lower Cambrian to the Tertiary Pliocene. They estimate that erosion averaged  $5 \text{ Gt yr}^{-1}$  during this period.. The global land area fluctuated throughout the Phanerozoic, but using a continental area of 118 million  $\text{km}^2$ ,  $5 \text{ Gt yr}^{-1}$  equates to an average natural erosion rate of  $0.4 \text{ t ha}^{-1} \text{ yr}^{-1}$  (over 542 million years. Schaller et al. (2001) measured in situ produced radionuclides ( $^{10}\text{Be}$ ) in the bedload of middle European rivers to infer average soil erosion rates, over the last

10,000-40,000 yr, at  $0.26\text{--}1.3\text{ t ha}^{-1}\text{yr}^{-1}$  (assuming a bulk density of  $1.3\text{ t m}^{-3}$ ). Mabit et al. (2008) discusses the advantages and limitations of fallout radionuclides for assessing soil erosion. Bennett (1939) reported that soil formation rates in the USA range from  $0.3\text{--}1.1\text{ t ha}^{-1}\text{yr}^{-1}$  (assuming a bulk density of  $1.3\text{ t m}^{-3}$ ), although he did not specify the methodology used. However, in areas where aeolian deposition occurs, the picture of soil formation is more complex.

## 2.2.2 Soil formation rates by dust deposition

Simonson (1995) reviewed the significance of air-borne dust to soils and discussed that when dust is deposited onto a soil from a desert source area, it may be regarded as ‘more valuable’ for soil functions in its new location, in a similar way that Sahelian dust boosts biomass production in Amazonian forests (e.g. Swap et al., 1992). Although this is a contentious view, wind erosion of fine particles in the Sahel may contribute to not allowing local vegetation cover development. In the present paper Simonson’s suggestion is accepted as long as the amount deposited is of an order of magnitude that enables the soil to incorporate it (i.e. not being buried by it).

Research into dust transport and deposition has increased substantially over the last decade (Engelstaedter et al., 2006). Satellite imagery and isotopic composition analyses have revealed that the Sahara is the main source of dust deposited in Europe (Middleton and Goudie, 2001), although dust originating from China has also been recorded in the French Alps (Grousset et al., 2003). Remote sensing analysis, employing the Total Ozone Mapping Spectrometer absorbing Aerosol Index (TOMS AI), has identified dust pathways from North Africa to the Mediterranean Basin (Middleton and Goudie, 2001; Israelevich et al., 2002).

North Africa is considered to be the largest source of dust on Earth with estimates of the strength of the Saharan source to be 130 to 760 million t yr<sup>-1</sup>, compared to 1000 to 3000 million t yr<sup>-1</sup> globally (Engelstaedter et al., 2006). The greater part of Saharan and peri-Saharan or Sahelian dust is delivered to the North Atlantic, but substantial amounts are estimated to be deposited on the European continent. D’Almeida (1986) used sun-photometer readings taken in the early 1980s to estimate Saharan dust delivery to Europe at 80-120 million t yr<sup>-1</sup>. Löye-Pilot et al. (1986) extrapolated their field data from Corsica to estimate dust delivery to the western Mediterranean at 3.9 million t yr<sup>-1</sup>.

Field measurements of dust deposition are summarised in Table 6. As Middleton and Goudie (2001) and Engelstaedter et al. (2006) observed, both the frequency of dust deposition and the mean annual quantity of deposited dust are greater for southern than for northern Europe. For Mediterranean Europe, up to the Pyrenean, Alpine, and Carpathian mountain ranges, dust deposition rates range from 0.05 to 0.39 t ha<sup>-1</sup> yr<sup>-1</sup>. North of this mountain divide, dust deposition rates are below 0.01 t ha<sup>-1</sup> yr<sup>-1</sup>. For the purpose of setting soil formation rates as thresholds for soil erosion (i.e. tolerable rates), it seems a reasonable generalisation to set dust deposition rates at ca. 0.2 t ha<sup>-1</sup> yr<sup>-1</sup> south of the trans-European mountain divide, and to regard dust deposition rates as negligible relative to soil erosion rates north of the divide, accepting potentially substantial but presently unquantifiable local variation to this.

Table 6

The value of  $0.2 \text{ t ha}^{-1} \text{ yr}^{-1}$  for southern Europe is of the same order of dust deposition rates found in California, where Reheis and Kihl (1995) measured dust deposition rates to range from  $0.04\text{-}0.16 \text{ t ha}^{-1} \text{ yr}^{-1}$  in southern Nevada and south-eastern California, and determined an average value of  $0.30 \text{ t ha}^{-1} \text{ yr}^{-1}$  in south-western California. Simonson (1995) reviewed the significance of dust deposition to soils and quoted estimates of approximately  $3.0 \text{ t ha}^{-1} \text{ yr}^{-1}$  of dust deposition on average for soils between the Rocky Mountains and the Mississippi River. This is a much greater value than those reported for Europe or California, and may be explained by the source area in the semi-arid south west U.S.A. delivering most of its dust eastward.

### 2.2.3 Overall soil formation rates

For the purpose of deriving overall soil formation rates in the evaluation and monitoring of soil erosion and its impacts, it appears to be reasonable to estimate dust deposition at no more than  $0.2 \text{ t ha}^{-1} \text{ yr}^{-1}$  in southern Europe and at  $0.0 \text{ t ha}^{-1} \text{ yr}^{-1}$  in northern Europe. By contrast, estimated soil formation rates (by weathering) for current conditions in Europe range on average from ca.  $0.3 \text{ t ha}^{-1} \text{ yr}^{-1}$  to ca.  $1.2 \text{ t ha}^{-1} \text{ yr}^{-1}$ . Much lower rates (e.g.  $0.004 \text{ t ha}^{-1} \text{ yr}^{-1}$  for basaltic parent material in semi-arid Australia – Pillans, 1997) and greater rates (e.g.  $5.7 \text{ t ha}^{-1} \text{ yr}^{-1}$  for a very well draining high precipitation watershed in southwestern Japan – Wakatsuki and Rasyidin, 1992) have been reported for environmental conditions generally not found in Europe. Therefore, considering soil formation rates by both weathering and dust deposition, it is estimated that for the majority of soil forming factors in most European situations, soil formation rates probably range from ca.  $0.3 - 1.4 \text{ t ha}^{-1} \text{ yr}^{-1}$ . Although the current agreement on these values seems relatively strong, how the variation within the range is spatially distributed across Europe and how this may be affected by climate, land

use and land management change in the future remains largely unexplored. It may be expected that dust deposition rates in the Mediterranean will increase in a climate change scenario that brings increasing droughts to the Sahel region, but if this will also mean that more dust will be deposited further northwards in Europe is more uncertain, as is the regional/local scale variation in dust deposition rates. Chemical weathering can be expected to increase where precipitation increases, particularly where the parent material is well draining, although soil erosion rates may concomitantly increase at the same or a greater rate (particularly when the rainfall intensity increases). Soils formed in limestone or granitic lithology are reported to have formation rates towards the smaller part of the range, although the body of evidence is relatively small and more experimental research is urgently needed into soil formation rates for these lithologies, since they cover a substantial area in Europe. Soil formation by sedimentation in water is only significant in the floodplains of large river systems, and is, therefore, omitted from this paper.

#### 2.2.4 Tolerable rates of soil erosion in Europe

Although reported rates of soil formation suggest an upper limit of approximately 1.4 t ha<sup>-1</sup> yr<sup>-1</sup> for mineral soils (see also Alexander, 1988b), it would be advisable to apply the 'precautionary principle' to any policy response to counteract soil erosion, otherwise soils with particularly slow rates of formation will steadily disappear, even when subjected to low erosion rates. Therefore, future differentiation of soil formation rates for soil–landuse–climate combinations is needed, and quantitative pedogenesis modelling (e.g. Hoosbeek and Bryan, 1992; Minasny and McBratney, 2001) may provide an appropriate methodology.

In some cases, rates of soil erosion greater than those of soil formation have been regarded as tolerable only from the wider perspective of society as a whole, for example because of a perception that certain crops (such as some vines) favour eroded soil profiles. In Switzerland, the threshold tolerated for soil erosion is generally  $1 \text{ t ha}^{-1} \text{ yr}^{-1}$ , though this threshold is increased to  $2 \text{ t ha}^{-1} \text{ yr}^{-1}$  for some soil types (Schaub and Prasuhn, 1998). In Norway,  $2 \text{ t ha}^{-1} \text{ yr}^{-1}$  is adopted as the threshold for tolerable soil loss (A. Arnoldussen, personal communication.). However, the data reviewed here confirm that a precautionary approach to environmental protection should regard soil erosion losses of more than  $1 \text{ t ha}^{-1} \text{ yr}^{-1}$  in Europe as unsustainable in the long term (Jones et al., 2004). In the USA, soils have been assigned tolerable rates (so-called ‘T values’) by using a range of methodologies, mainly the USLE model and expert judgement, and differentiated mainly by soil depth and crop productivity. Approaches and assumptions for deriving T values have been revised (e.g. Mannering, 1981; Pierce et al., 1984) and continue to be discussed (Johnson, 1987; Mirtskhulava, 2001; Johnson, 2005; Montgomery, 2007). Another way of expressing tolerable soil erosion is to calculate the ‘life span’ of soil. This is the number of years it will take, at current soil formation/erosion rates, for a soil to reach its finite point (i.e. the minimum soil depth required before it becomes economically unsustainable to maintain the current land use - Stocking and Pain, 1983). For commercial farming the finite point has been defined at which yields fall to 75% below the maximum possible (Morgan, 1987). However, this value is highly dependent on socio-economic conditions and available technology and these factors are notoriously difficult to predict accurately in the future. For other soil functions this approach has not been applied, possibly in part because of some (components of) soil functions do not allow for straightforward economic sustainability assessments (e.g. soil biodiversity).

Setting a limit of  $1 \text{ t ha}^{-1} \text{ yr}^{-1}$  is also supported when considering the impact of soil erosion / sediment production rates on water quality. Eroded soil, delivered to water bodies can be a physical and chemical pollutant in terms of water turbidity and as a carrier of contaminants which may have detrimental effects on aquatic ecosystems. Qualitative limits for eroded sediment in water bodies are advocated in policy drivers such as the EU Water Framework Directive, which states that surface waters should be kept in ‘good ecological status’. EU Member States are currently deciding on the level of sediment, which will give such a status, but it is unlikely that absolute standards for biological quality will be set across the whole community, because of ecological variability. It is expected that the specified controls will allow “only a slight departure from the biological community which would be expected in conditions of minimal anthropogenic impact”. Quantitative targets have also been set to control pollution from sediment (e.g. the United States Department of Agriculture uses a target of  $1 \text{ t ha}^{-1} \text{ yr}^{-1}$  to maintain water quality).

### **3. Actual soil erosion rates**

Section 3.1 introduces the main types of soil erosion while section 3.2 reviews the erosion rates reported in the literature.

#### **3.1 Soil erosion types**

Soil loss by coastal and riparian erosion is not reviewed in this study, because this constitutes the loss of land, which is not directly linked to human activities although it constitutes a ‘permanent’ loss of soil. Furthermore, it is not clear that human influence

through land management and land use practices has any significant effect on increasing or decreasing coastal erosion, although a number of studies have shown that attempts to mitigate by erecting engineering structures (e.g. impervious sea walls and breakwaters) can actually aggravate the problem elsewhere along the coastline (McInnes et al., 2000; Lee and Clark, 2004; Lee and Jones, 2004; Bromhead and Ibsen, 2006).

### 3.1.1 Soil loss by water erosion

Water erosion takes place through rill and/or inter-rill (sheet) erosion, and gullies, as a result of excess surface runoff, notably when flow shear stresses exceed the shear strength of the soil (Kirkby et al., 2000; Jones et al., 2004; Kirkby et al., 2004). This form of erosion is generally estimated to be the most extensive form of erosion occurring in Europe. De Ploey (1989) identified different domains where these processes take place, as a function of soil, slope and land cover characteristics in any location. Sheet and rill erosion will cause surface soil to be removed from the in situ soil mass. Assuming this surface soil has not been disturbed previously (e.g. by inversion tillage or preceding erosion events), it will contain considerable amounts of organic matter and plant nutrients that are crucial to perform effective soil functions (Fullen and Brandsma, 1995). This eroded soil material may not necessarily travel very far and may remain in the same field from where it was eroded. Indeed, the area of deposition may benefit from the accumulation of highly fertile, eroded surface soil, in the same way that river flood plains receive substantial depositions of highly fertile sediment. However, this accumulation of eroded soil may only be temporary, until the next erosion event, especially as the recently deposited sediments often lack aggregation and remain highly erodible.

595

596 Where there is little vegetative cover or root network below the surface, and slopes  
597 are steep, the eroded soil from these surface processes can move into the stream  
598 network and thus cause further detrimental off-site impacts (Cerdan et al., 2006). The  
599 transport of eroded material will be enhanced further by erosion features such as  
600 gullies which provide a conduit for the eroded surface soil (Blong et al., 1982), as  
601 well as being a source of sediments in their own right. Long term field plots are often  
602 used for direct measurement of soil loss by rill and inter-rill erosion; as demonstrated  
603 by Boix-Fayos (2005). Models of rill erosion have been shown by some researchers to  
604 be in disagreement with current experimental evidence (Govers et al., 2007; De Vente  
605 et al., 2008), but direct measurements of soil erosion are both scarce and do not fully  
606 represent the soil-climatic landscapes that experience rill erosion in Europe.

607

608 Gully erosion is common in Mediterranean Europe, in particular, Spain, Italy and  
609 Greece (Vandekerckhove et al., 2000). These areas are characterised by long-term  
610 gullies (i.e. that cannot be obliterated by ploughing), which have been described as  
611 relatively deep, recently formed, eroding channels that form on valley sides and on  
612 valley floors where no well-defined channel previously existed (Schumm et al., 1984).  
613 Ephemeral gullies (i.e. that can be obliterated by ploughing) commonly occur in the  
614 arable loess soil, as seen in the loess belt of Belgium and the sandy soils of the South  
615 and West Midlands of England. These gullies develop rapidly, are ploughed in and  
616 often reappear the following year. The occurrence of gullies, and variations in the type  
617 of gully erosion, are related to particular soil properties, climate and topography of  
618 these areas (Nachtergaele and Poesen, 1999; Nachtergaele et al., 2001). It is  
619 notoriously difficult to predict where and when gully erosion will occur in the

landscape by the extension of an existing gully or a new gully forming, as well as associated rates of sediment production (Poesen et al., 2003).

### 3.1.2 Soil loss by wind erosion

Wind erosion occurs predominantly on the North European Plain (northern Germany, eastern Netherlands and eastern England) and in parts of Mediterranean Europe (De Ploey, 1989; Evans, 1990, 1996; Chappell, 1999; Chappell and Thomas, 2002; Warren, 2002; Barring et al., 2003; Breshears et al., 2003; Riksen et al., 2003; Jones et al., 2004; Quine et al., 2006). Wind erosion is caused by the simultaneous occurrence of three conditions: high wind velocity; susceptible surface of loose particles; and insufficient surface protection. The transport of soil material (between erosion and sedimentation) can occur in three main modes: saltation, creep and suspension. Factors that exacerbate wind erosion are similar to those for erosion by water: namely soil erodibility, as determined by physical, chemical and biological properties including texture, organic matter content, moisture content, land use and cover, and energy of the force causing the erosion (wind erosivity). Riksen et al. (2003) point out that wind erosion is not as significant or as widespread a problem in Europe as in drier parts of the world, which might explain the relatively limited research on wind erosion to date compared to water erosion studies. The present review concludes that there are few accurate data on the extent and magnitude of the problem, or the costs of the remediation (Owens et al., 2006a,b,c). Goossens et al. (2001) studied the dynamics of Aeolian dust emitted from agriculture in northwest Germany, over a 15 month period. The dust emission was caused by wind erosion combined with tillage activities and the dust emitted consisted of mineral as well as organic particles.

### 3.1.3 Soil loss by tillage erosion

This erosion type has been recognised for several decades, but the magnitude of soil lost by this process in Europe has only been appreciated and documented during the last 10-15 years (Lindstrom et al., 1992; Govers et al., 1993; Lobb et al., 1995; Govers et al., 1996; Lobb et al., 1999; Van Muysen et al., 1999; Lindstrom et al., 2000; Van Oost et al., 2000a,b; Quine and Zhang, 2004a,b; Van Oost et al., 2005a,b; Owens et al., 2006a,b; Quine et al., 2006; Van Muysen et al., 2006; Van Oost et al., 2006; Van Oost et al., in press). Mech and Free (1942) concluded that soil movement by tillage was far from insignificant and that its intensity was related to slope gradient. Soil translocation by tillage results in soil loss from convex slope positions, such as crests and shoulder slopes, because of an increase in-slope gradient and a consequent increase in soil translocation. Spatial patterns of tillage erosion differ from those of water erosion, because the principal agent is different. Soil loss by tillage can be greatest from landscape positions where water erosion is minimal (i.e. in concavities and near upslope field boundaries), whereas soil deposition by tillage can occur in areas where water erosion is often maximal (i.e. on slope convexities). Measurements on the magnitude of tillage erosion are few, but studies in Europe highlight the importance of the magnitude of tillage erosion relative to water erosion (Govers et al., 1993; Quine et al., 1994; Owens et al., 2006a). Van Oost et al. (2005a) have compared rates of soil erosion by tillage with those by water. By comparing two time periods, they found that there has been a shift from water-dominated to tillage-dominated erosion processes in agricultural areas during the past few decades. This reflects the increase in mechanized agriculture and the authors concluded that where soil is cultivated, tillage erosion may lead to larger losses than overland flow.

670

#### 671 3.1.4 Soil loss by crop harvesting

672 This erosion type refers to soil removed during crop harvesting, for example of root  
673 crops, mainly in northern Europe. Soil can be removed from a location or field by  
674 adhering to farm machinery (e.g. wheels, tines, ploughs and discs). Much larger  
675 amounts of soil can be removed by soil co-extraction with a root crop, particularly .  
676 sugar beet, potatoes, carrots and chicory) (Jaggard et al., 1997; Ruyschaert et al.,  
677 2005). This mechanism of soil loss is known as ‘soil loss due to crop harvest (SLCH)’  
678 in the scientific literature (Ruyschaert et al., 2004, 2005), and as ‘soil/dirt tare’ in the  
679 agricultural industry. SLCH is a particular problem in areas growing early potatoes in  
680 northern Europe because harvesting normally takes place when the topsoil is moist or  
681 very moist and soil particles readily adhere to the surface of the potatoes. However,  
682 preparation of the crop for marketing usually involves cleaning (washing) and  
683 removing the soil but returning it to the fields from whence it came is not always  
684 advised by the agricultural extension services, because of the possibility of spreading  
685 disease.

686

#### 687 3.1.5 Soil loss by slope engineering

688 Slope engineering is the mechanical translocation of soil by bulldozers and other earth  
689 moving equipment to adapt slope surfaces to mechanised agriculture. Some authors  
690 refer to this practice as ‘land levelling’, which implies a reduction of slope gradient,  
691 which in turn would actually reduce erosion risk. However, as is seen in the  
692 construction of bench terraces for example, whilst the bench of the terrace is levelled,  
693 the ‘riser’ or back wall component of the terrace has to compensate for this, and is  
694 constructed at an angle which is steeper than the original land slope. This back slope

is thus highly susceptible to surface erosion and mass movement. During terrace construction, soil loss can be aggravated as natural vegetation is mechanically removed from the land to enable soil to be cultivated, often in the form of modern specialised orchards, vineyards and olive groves. Often, marginal land with poor quality soils is used, so deep ploughing to about 1 m depth is required to ensure a sufficient depth of rootable soil (Jones et al., 2004). Such soil disturbance can destroy any soil structure, and increase soil erodibility and exacerbate soil losses. This form of erosion is common in many parts of Europe, especially in Italy, where it is widespread in the Apennines and hilly pre-alpine regions. Such techniques are also practised in southern Spain, where intensive horticulture under polythene canopies has spread onto the foothills of Andalusia. The climate there is arid to semi-arid. Thus, when heavy rain falls soil losses are exacerbated by steep slopes, lack of natural vegetation cover and the unstable disturbed soil (Kibblewhite et al., 2007).

### **3.2 Current evidence for actual soil erosion rates**

There have been attempts to map soil erosion rates and risk in a number of EU Member States (De Ploey, 1989; Schaub and Prasuhn, 1998; Sanchez et al., 2001; Ministry of Environment of the Slovak Republic and Slovak Environmental Agency, 2002; Van der Knijff et al., 2002; Hennings, 2003; Øygarden, 2003; Kirkby et al., 2004; Dostal et al., 2004; Boardman and Poesen, 2006; Kertész and Centeri, 2006), but to establish an accepted overall baseline for erosion in Europe remains a challenging task. Rates of soil erosion have been determined using several approaches: i) plot and field measurements, ii) soil erosion modelling, iii) mass/energy balance modelling, iv) radionuclide measurement, v) suspended sediment load in rivers and streams, vi)

chronosequence studies, and vii) geological (sedimentological) studies. Trimble and Crosson (2000a,b) reviewed soil erosion rates in the U.S. and concluded that models should only be used with caution, taking account of all the assumptions and potential inaccuracies of the model chosen. These authors recommended that it would be better if resources were directed more towards measurements of soil erosion.

In this review, the focus is placed on measured soil erosion rates where available, and validated modelled rates for important but relatively unexplored soil erosion types. Publications on mean soil erosion rates refer mostly to water erosion, yet baseline values for other forms of erosion, for example by wind and tillage, are also needed.

### 3.2.1 Rates of soil loss by water (sheet, rill and gully) erosion

Pimentel et al. (1995) have reviewed erosion rates around the world and suggested an average of  $17 \text{ t ha}^{-1} \text{ yr}^{-1}$  for arable soils in Europe. This is a crude approximation since it is based on plot data, which only exist for very small areas where measuring equipment has been installed and monitored. Furthermore, data from plot experiments are known to be a poor basis for regional generalisation (Boardman, 1998). This is because to obtain long-term estimates of soil erosion, plot estimates must be scaled up by integrating over time and surface runoff generated locally may not reach the base of a slope to deliver sediment to a channel (Kirkby et al., 2008). Thus, some soil removed from an experimental plot may be deposited downslope but not lost completely from the regional parcel or catchment. In addition, the location of soil erosion plots across Europe may not be representative, because erosion plots tend to be selected in places where erosion is known to occur and where resources are available to measure it. Yang et al. (2003) applied the RUSLE model on a  $0.5^\circ$  global

grid using a 1 km resolution DEM to estimate rates of soil erosion by water, and found an average value of  $11.1 \text{ t ha}^{-1} \text{ yr}^{-1}$  for Europe compared to  $10.2 \text{ t ha}^{-1} \text{ yr}^{-1}$  globally. In addition Yang et al. (2003) evaluated the human induced proportion of the soil erosion by modelling the difference between current land cover and potential land cover without human activity. Human-induced erosion was estimated to be ca. 60% globally, but ca. 88% for Europe.

The occurrence and rate of water erosion processes are influenced by regional climate, local soil properties, and past and present land use. A number of localised erosion rates are given for various plots around Europe, some containing only one or two forms of erosion, depending on the spatial scale of the plots (Morgan, 2005). Cerdan et al. (2006) extensively reviewed the experimental data for soil loss by sheet and rill erosion in Europe, and compiled a database of 208 plots on 57 experimental sites in 13 countries. The mean erosion rate was  $8.8 \text{ t ha}^{-1} \text{ yr}^{-1}$ , although aggregation of the data by land use showed large variations. Geographical comparisons, (i.e. Mediterranean versus the rest of Europe) showed no significant overall difference and no large differences between most land uses, except for bare soil (ca.  $32 \text{ t ha}^{-1} \text{ yr}^{-1}$  for the Mediterranean zone and ca.  $17 \text{ t ha}^{-1} \text{ yr}^{-1}$  for the rest of Europe).

Poesen et al. (2006) present a comprehensive list of published rates for gully erosion, including both ephemeral and permanent gullies. Ephemeral gully rates derived from studies conducted in the loess belt of Belgium while the majority of permanent gully erosion rate estimates are from the Mediterranean region of Europe. These rates vary from 1.1 to  $455 \text{ t ha}^{-1} \text{ yr}^{-1}$  (Poesen et al., 2006). This wide range gives an indication of the complexities of quantifying soil loss by gully erosion owing to the episodic and

highly variable nature of soil loss within these eroded channels; variable regional climatic effects; the haphazard nature of gully distribution in the landscape; propensity of vertically variable soil properties to exacerbate gully erosion; the stage at which the gully is in its erosion cycle (active or stable); current or previous topographic position in the landscape; and the historical and present land use influencing the gully (Valentin et al., 2005).

Martinez-Casasnovas et al. (2003) highlighted the complexities of measuring gully erosion rates in a study of one gully system located in north eastern Spain. Using aerial photographs and a detailed digital elevation model (DEM), they estimated the annual average sediment production rate of the gully from 1975 to 1995 to be  $846 (\pm 40) \text{ t ha}^{-1} \text{ yr}^{-1}$ . The net erosion, taking account of some eroded material being deposited, was  $576 (\pm 58) \text{ t ha}^{-1} \text{ yr}^{-1}$ , averaged over the 20-year period. During the study the authors measured and analysed a 1 in 100 year rainfall event when 205 mm fell over the study area in 2h 15 min leading to a net soil loss of  $207 (\pm 21) \text{ t ha}^{-1}$  with a sediment production rate of  $487 (\pm 13) \text{ t ha}^{-1}$  by ephemeral gully, rill and inter-rill erosion (Martinez-Casasnovas et al., 2003). The authors see this comparison as good evidence that gully erosion accounts for 1.7 times more soil loss than the other forms of erosion in this study area. However, averaging gully erosion on an annual basis probably gives an unrealistic rate, owing to the episodic nature of the gully forming process (Betts and De Rose, 1999)

Few studies have considered erosion from gullies at a regional or catchment scale. However, Nachtergaele and Poesen (1999) considered ephemeral gullies at four sites in Belgium (ranging from 216 to 1095 ha), using sequential aerial photographs from 1952 to 1996. Each site contained 18 to 38 gullies on average and it was estimated

that the reasonably long-term (44 yr) average for soil loss was between 3.2 and 8.9 t ha<sup>-1</sup> yr<sup>-1</sup>. These figures are considerably different to those given by Martinez-Casasnovas et al. (2003), even though the measurement methods were similar (interpretation of sequential aerial photographs), and reveal the importance of differentiating between type of gully erosion and regional influences (Mediterranean versus western Europe) when assessing gully erosion rates.

Jones et al. (2004) report a number of other soil erosion studies which provide a European overview, but these are based mostly on models or expert judgement (including observation). These approaches more commonly produce assessments of erosion risk rather than estimates of actual soil loss, without reference to baseline and/or threshold values.

### 3.2.2 Rates of soil loss by wind erosion

Recent work in Eastern England reported mean wind erosion rates of 0.1-2.0 t ha<sup>-1</sup> yr<sup>-1</sup> (Chappell and Thomas, 2002), although severe events can move much larger quantities (>10 t ha<sup>-1</sup> yr<sup>-1</sup>) of soil. Böhner et al. (2003) estimated average soil loss at 1.6 t ha<sup>-1</sup> yr<sup>-1</sup>, and a mean maximum of 15.5 t ha<sup>-1</sup> yr<sup>-1</sup> from simulation modelling. Despite research studies in these areas, Chappell and Warren (2003) report that little is known about the true extent and magnitude of wind erosion in Europe.

### 3.2.3 Rates of soil loss by tillage erosion

Mean gross rates of tillage erosion have been reported to be in the order of 3 t ha<sup>-1</sup> yr<sup>-1</sup> for Belgium, the north of France, and the east of England (Govers et al., 1996; Owens et al., 2006a). Boardman and Poesen (2006) reviewed measurement data for tillage

erosion rates in Europe and concluded that it often exceeds  $10 \text{ t ha}^{-1} \text{ yr}^{-1}$ , particularly on fields with complex topography. Van Oost et al. (2005a) estimated that the average erosion and soil redistribution rate, over the last ca. 35-40 years due to tillage, is ca.  $9 \text{ t ha}^{-1} \text{ yr}^{-1}$ . Long-term erosion rates based on soil profile truncation data demonstrated that, over the longer term, erosion has been dominantly by water by overland flow.

Hinz (2004) reported rates of soil loss between  $18.6$  and  $29.5 \text{ kg ha}^{-1}$  for harvesting operations, and between  $0.8$  and  $1.4 \text{ kg ha}^{-1}$  for normal tillage operations. The latter data are for the production of cereals but they may give a good idea of the order of magnitude for other adjacent crops. Funk and Reuter (2004) investigated emissions for various tillage operations and arrived at values of between  $3$  and  $6 \text{ kg ha}^{-1}$ , that is about 3 times greater than those of Hinz (2004).

At Dalicott Farm in Shropshire (UK),  $^{137}\text{Cs}$  data and a numerical erosion model were used to estimate erosion on a hillslope (Govers et al., 1993; Quine et al., 1994). The proportions of overall erosion that was caused by water or tillage erosion were estimated to be similar for the last ca. 6 centuries (57% and 43%, respectively), and greater for water erosion over the last 40 years (76% and 24%, respectively), based on  $^{137}\text{Cs}$  data.

### 3.2.4 Rates of soil loss by crop harvesting

Ruysschaert et al. (2004) provided an excellent review of the research on soil loss due to crop harvesting (SLCH) in Europe. They reported mean losses ranging from  $1.3$  to  $19 \text{ t ha}^{-1} \text{ yr}^{-1}$  for a variety of crops. SLCH was greatest for chicory, sugar beet and potatoes. Boardman and Poesen (2006) also reviewed soil loss by crop harvesting,

confirming the variation in Europe, according to crop types and climate, concluding that average values of  $2 \text{ t ha}^{-1} \text{ yr}^{-1}$  for a potato crop and  $9 \text{ t ha}^{-1} \text{ yr}^{-1}$  for a sugar beet crop can be expected. Soil moisture content at harvest is the driving factor.

### 3.2.5 Rates of soil loss by slope engineering

Recently, P. Bazzoffi (pers.com.) estimated that in Italy the area highly prone to risk of land levelling is about 10% of the area under permanent crops. After levelling, land is in a vulnerable condition and a few storms can easily cause severe soil losses. Bazzoffi et al. (1989) measured  $454 \text{ t ha}^{-1} \text{ yr}^{-1}$  of water erosion with the formation of a gully after six rainfall events of medium intensity in central Italy.

In Norway during the late 1970s, extensive land levelling was stimulated by subsidies. This led to a two- to three-fold increase in soil erosion. The increase was especially large when former ravine landscapes used for pasture were levelled and turned into arable land that was ploughed in autumn. The clearly visible erosion and increasing negative offsite effects on water quality, together with overproduction, put an end to the subsidies for land levelling, but not before 13% of the agricultural area had been levelled with the support of these subsidies. The most visible effect was erosion caused by concentrated flow, including severe ‘gullying’ resulting from reduced infiltration, longer slopes and inadequate measures to handle concentrated flow (Jones et al., 2004). Now, land levelling is only allowed in Norway with special permission.

### 3.2.6 Overall soil erosion rates

Breshears et al. (2003) researched the relative importance of soil erosion by wind and by water in a Mediterranean ecosystem and found wind erosion to exceed water erosion from shrubland and forest sites, but not from a grassland site. Wind-driven transport of soil material from horizontal flux measurements were projected to annual timescales for shrubland (ca. 55 t ha<sup>-1</sup> yr<sup>-1</sup>), grassland (ca. 5.5 t ha<sup>-1</sup> yr<sup>-1</sup>) and forest (ca. 0.6 t ha<sup>-1</sup> yr<sup>-1</sup>). In a similar study, Goossens et al. (2001) found lower values (ca. 9.5 t ha<sup>-1</sup> yr<sup>-1</sup>) for arable fields in lower Saxony, Germany.

Owens et al. (2006a) proposed a tentative comparison between the various forms of soil loss, including water erosion processes in England and Wales. The rates quoted suggest that the likely range of annual soil loss rates may be similar for all forms of erosion. There will be temporal and spatial variations in the relative magnitude and extent of the different processes, with arable land being susceptible to all forms of erosion, and uncultivated land only at risk of water and, to some extent (i.e. exposed sandy and peaty soils), wind erosion.

### 3.2.7 Soil erosion rates for Europe

In the context of soil erosion, the true baseline is the amount of soil that is lost from a defined spatial unit under current environmental conditions. However, to determine a universal baseline it is not practicable to measure the actual loss of soil caused by erosion processes over the whole of Europe. It is more realistic to estimate baseline data for Europe by modelling the factors known to cause erosion, validating estimated baseline soil losses using actual measurements from the few experimental sites that currently exist, and augmenting by measurements from additional 'benchmark' sites. This leaves the spatial unit over which any baseline would apply undefined.

For soils under arable land use, several researchers quote soil erosion rates in Europe of between 10 and 20 t ha<sup>-1</sup>yr<sup>-1</sup> (Richter, 1983; Lal et al., 1998; Yang et al., 2003), whereas Arden-Clarke and Evans (1993) report that water erosion rates in Britain vary from 1-20 t ha<sup>-1</sup> yr<sup>-1</sup> but that the higher rates are rare events and localised. Boardman (1998) challenged the usefulness of an average rate of soil erosion for Europe, concluding that the rates vary too much in time and space to specify precise amounts. This variation is evident in Table 7 which shows ranges of the mean rates of soil lost by the recognised erosion types for agricultural land, and the actual soil erosion rates in tilled, arable agriculture by different combinations of erosion types (ca. 3-40 t ha<sup>-1</sup>yr<sup>-1</sup>). Although soil type, slope and climate are important factors, the greater part of the actual soil erosion rates relate to soil cover, soil management, and crop management. These factors can all be influenced by policy measures.

Table 7

#### 4. Summary and conclusions

Figure 1

Tolerable soil erosion is a concept that has been developed over the last 60 years. Its definition has been related to the production function of soil by numerous authors. Inclusion of the regulation function of soil was realised, but not implemented in these definitions. Over the last 15 to 20 years a more holistic concept of soil functions has

been developed, which this paper suggests should be applied to defining tolerable soil erosion: ‘any actual soil erosion rate at which a deterioration or loss of one or more soil functions (Table 4) does not occur’, with actual soil erosion meaning ‘the cumulative amount of soil lost by all recognised erosion types’.

Soil formation rates are proposed as a basis for establishing tolerable soil erosion. For Europe, the current state of scientific knowledge indicates that tolerable soil erosion rates range from ca. 0.3 – 1.4 t ha<sup>-1</sup> yr<sup>-1</sup> depending on the driving factors of weathering (e.g. parent material, climate, land use) and dust deposition (e.g. geographic position; distance to source). Relevant local components of soil functions that are impacted by soil erosion (e.g. surface water turbidity effects on aquatic wildlife or siltation of reservoirs) can be used to set tolerable soil erosion rates below the upper limit determined by soil formation rates.

Soil erosion research has focused traditionally on erosion by water (rill, gully etc.) and, to a lesser extent, by wind. However, over the last 10 - 15 years, the focus has broadened to include other important types of erosion, namely tillage erosion, crop harvesting and slope engineering or land levelling. Estimates of soil erosion rates for evaluation in a soil monitoring system need to consider all types of erosion, although mitigation should focus on the dominant type in any particular location. For all types of soil erosion, and particularly wind erosion and land levelling, there is a need for more spatially differentiated evidence of current rates.

The range of reported erosion rates for tilled arable soils is many times greater than the range of reported soil formation rates. This can be because soil formation is

affected little by human activities, whereas today most soil erosion is anthropogenically induced. It should also be noted that soil erosion only appears to exceed tolerable rates when the soil is under cultivation or affected by other human disturbance. Furthermore, Boardman and Poesen (2006) estimated that arable agriculture accounts for ca. 70% of soil erosion in Europe, while Yang et al. (2003) developed a coarse-scaled global model from which they estimated that ca. 88% of soil erosion in Europe to be human-induced. Figure 1 gives an overview of the concept and rates of tolerable soil erosion and actual soil erosion (i.e. ‘the total amount of soil lost by all recognised erosion types’), and suggests directions for developing more detailed tolerable rates by applying the soil function concept and numerical soil formation modelling. The right side describes the components of soil erosion and the reported variation in their rates (mean and maximum). Tolerable soil erosion rates and approaches for deriving them are described on the left. At present, best estimates for mean rates in Europe are ca. 0.3-1.4 t ha<sup>-1</sup>yr<sup>-1</sup> for soil formation and ca. 3-40 t ha<sup>-1</sup>yr<sup>-1</sup> for actual soil erosion. These results are comparable with the 10-40 times greater than tolerable global estimate reported by Pimentel (2006). The figure also highlights areas for more research. Apart from the need for more detailed and differentiated values for soil erosion and formation rates (experimentally), it is also needed to identify yet unknown erosion types and further develop concepts such as the soil function system and numerical soil formation models, to implement soil erosion mitigation policies at appropriate spatial scales (differentiated by dominant factors). In addition, soil erosion work and policies should include a wide range of spatial and temporal scales until the connections between scales are better understood. Clearly, the spatial and temporal variation of tolerance-exceeding soil erosion is substantial and is likely to change, or possibly intensify, when climate and land use

change. Therefore, the recommendation from Trimble and Crosson (2000a,b) and Brazier (2004), that resources should focus more on monitoring soil erosion by field measurements than on modelling, is supported by this review. Ideally, the approaches to field measurement (e.g. considering scale and spatial heterogeneity) would be developed in conjunction with process-based models.

However, if these measured and estimated ranges for soil formation and erosion are correct, and current conditions and management persist (a ‘business as usual’ scenario), then topsoils of tilled arable land on hill slopes (i.e. not flood plains) in Europe could be ca. 2 to 30 cm thinner in 100 years time (assuming a blanket tolerable rate of  $1 \text{ t ha}^{-1} \text{ yr}^{-1}$  and a bulk density of  $1.3 \text{ t m}^{-3}$ ) than today. Where in the range an area will be, depends on physical factors (e.g. climate, drainage, soil texture and structure) and on land management factors (see Table 7). For many topsoils in Europe this would mean a substantial deterioration in their production, regulation, habitat, and information functions (Table 4), if not a cessation of some of them. For areas where slope engineering and/or gully erosion occurs, even more soil could be lost. Thus, the status quo is not compliant with the intergenerational equity argument, i.e. that future generations should have the same rights to natural resources as those enjoyed by the current generation. A substantial effort is required to reduce soil erosion losses closer to tolerable levels, particularly in tilled, arable agriculture. In the future, climate change looks likely to increase rainfall intensity, if not annual totals, thereby increasing soil erosion by water, although there is much uncertainty about the spatio-temporal structure of this change as well as the socio-economic and agronomic changes that may accompany them (e.g. Boardman and Favis-Mortlock, 1993; Phillips et al., 1993; Nearing et al., 2004). Similarly, as a response to climate change,

soil formation rates may change and the development of ‘moving tolerable rates’ with climate change scenarios may be required to support the policy sector with sound scientific guidelines.

This review of rates of soil loss by erosion, in the mineral soils of Europe, has clarified the tolerable rate of soil erosion to which modern land use systems should aspire. Furthermore, the evidence of well-founded tolerable rates of soil erosion, evaluated against actual soil erosion rates, is vital for developing policies to ensure that soil receives a level of protection comparable to that accorded to water and air in Europe.

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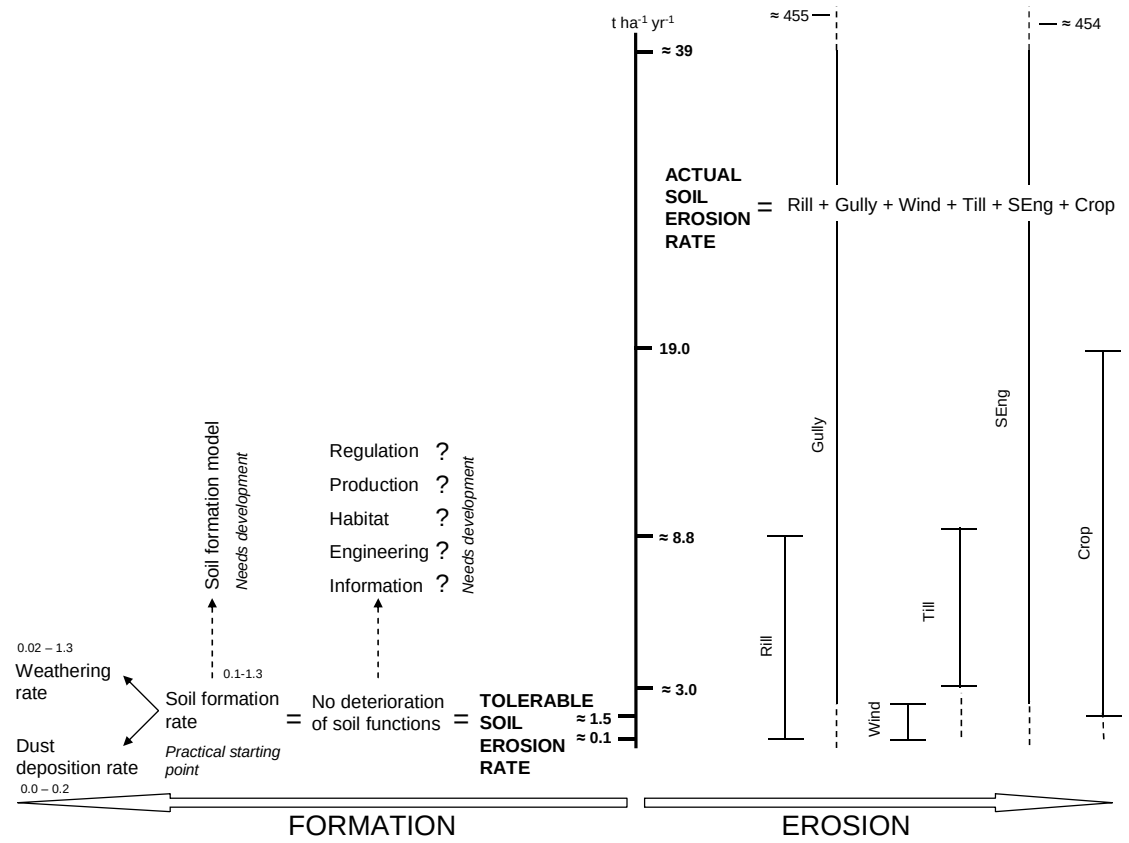
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Figure 1



Tolerable vs. actual soil erosion, concept and rates. See the text for a detailed explanation. All numbers are in  $\text{t ha}^{-1} \text{yr}^{-1}$ . Please see relevant sections of this paper for more detailed information and references. Rill=rill and sheet erosion; Gully=gully erosion; Wind=wind erosion; Till=tillage erosion; SEng=erosion by slope engineering; Crop=erosion by crop harvesting.

Range of spatial scales of soil erosion research (Rickson, 2006; after Wickenkamp et al., 2000).

| Erosion research technique | Area                 | Dimension descriptors (Wickenkamp et al., 2000) |         | Dominant processes operating                                                                                       | Selected References                                                                    |
|----------------------------|----------------------|-------------------------------------------------|---------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------|
| Splash cup                 | mm <sup>2</sup>      | Nanoscale                                       | Subtope | Rain splash dominant; overland flow/deposition limited. No gullies, stream bank erosion or mass movements.         | Ellison (1944); Kinnell (1974); Morgan et al. (1988); Salles, C. and Poesen, J. (2000) |
| Laboratory tray            | cm <sup>2</sup>      | Nanoscale                                       | Subtope | Rain splash dominant?; overland flow/deposition limited. No gullies, stream bank erosion or mass movements.        | Idowu (1996)                                                                           |
| Runoff rig                 | m <sup>2</sup>       | Microscale                                      | Tope    | Rain splash and overland flow; some deposition possible. No gullies, stream bank erosion or mass movements.        | Kamalu (1993); Govers (1989)                                                           |
| Field plot                 | m <sup>2</sup>       | Microscale                                      | Tope    | Rain splash and overland flow; some deposition. Some gullying and mass movements possible; no stream bank erosion. | Wischmeier and Smith (1978); Ciesiolka and Rose (1998); Pierson et al. (1994)          |
| Field                      | ha                   | Mesoscale                                       | Chore   | Rain splash, overland flow and deposition. Gullying and mass movements possible. No stream bank erosion.           | Evans and Boardman (1994); Walling and Quine (1991)                                    |
| Sub-catchment              | ha – km <sup>2</sup> | Mesoscale                                       | Chore   | Rain splash, overland flow and deposition. Gullying possible. Some stream bank erosion.                            | Hudson (1981); Rapp et al. (1972)                                                      |
| Catchment/landscape        | km <sup>2</sup>      | Macroscale                                      | Region  | Rain splash, overland flow and deposition. Some gullying and mass movement possible. Stream bank erosion.          | Dickinson and Collins (1998)                                                           |

Estimated annual costs of soil erosion to UK economy in £million (2000 prices)

|                                                                                       | £ million  | % contribution<br>from agriculture |
|---------------------------------------------------------------------------------------|------------|------------------------------------|
| Soil organic matter loss, leading to increased emissions of carbon dioxide            | 74         | 95%                                |
| On-farm costs (additional fertilisers, etc.)                                          | 8          | 100%                               |
| Accidents/stream channels (i.e. off-site costs mainly related to clean-up operations) | 8.2        | 95%                                |
| Effects of flooding                                                                   | 115        | 14%                                |
| <b>TOTAL ANNUAL COST (£ million)</b>                                                  | <b>205</b> |                                    |

Source: Environment Agency (2002).

| Interpretations and definitions for 'tolerable soil erosion'                                                                                                                                                                                                                                                                       |                            |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|
| Tolerable soil erosion - definition                                                                                                                                                                                                                                                                                                | Reference                  |
| The maximum volume of erosion-removed topsoil that provides high, or economically feasible, fertility for a long time                                                                                                                                                                                                              | Patsukevich et al., 1997.  |
| Soil loss balanced by soil formation through weathering of rocks                                                                                                                                                                                                                                                                   | in Roose (1996)            |
| Erosion that does not lead to any appreciable reduction in soil productivity                                                                                                                                                                                                                                                       | in Roose (1996)            |
| The maximum rate of soil erosion that permits an optimum level of crop productivity to be sustained economically and indefinitely                                                                                                                                                                                                  | ISSS (1996)                |
| The average annual soil loss a given soil type may experience and still maintain its productivity over an extended period of time (permissible soil loss)                                                                                                                                                                          | Kok et al. (1995)          |
| The maximum permissible rate of erosion at which soil fertility can be maintained over 20-25 years                                                                                                                                                                                                                                 | Morgan (2005)              |
| (i) The maximum average annual soil loss that will allow continuous cropping and maintain soil productivity without requiring additional management inputs. (ii) The maximum soil erosion loss that is offset by the theoretical maximum rate of soil development which will maintain an equilibrium between soil losses and gains | SSSA (2001)                |
| Rate of soil erosion is not larger than the rate of soil production (acceptable rates of soil erosion)                                                                                                                                                                                                                             | Boardman and Poesen (2006) |

Harmonised primary soil functions scheme.

| Primary soil functions | Components                                                                                                                                                                                                                                                                 |
|------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Habitat                | Refugium function; nursery function; medicinal resources; gene pool; seed bank                                                                                                                                                                                             |
| Information            | Cultural information (archaeological and palaeontological); science and education; spiritual and historic; recreation; aesthetic information                                                                                                                               |
| Production             | Food; fodder; fibre; raw materials; renewable energy                                                                                                                                                                                                                       |
| Engineering            | Technical, industrial and socio-economic structures                                                                                                                                                                                                                        |
| Regulation             | Gas regulation; climate regulation; disturbance resistance; disturbance resilience; water supply; water filtering; pH buffering; biotransformation of organic carbon; soil retention; soil formation; nutrient regulation; biological control; waste and pollution control |

Reported soil formation rates by weathering (large scale); na=not available.

| Methodology                                              | Spatial scale                                                                    | Temporal scale | Lower limit | Upper limit | Reference                     |
|----------------------------------------------------------|----------------------------------------------------------------------------------|----------------|-------------|-------------|-------------------------------|
| Mass balance (Si)                                        | Non-carbonate; non-arable; North America, Europe, Australia (Victoria), Zimbabwe | na             | 0.02        | 1.27        | Alexander (1988a)             |
| Mass balance (Al, Fe, Ca, K, Mg, Na, Si)                 | Global                                                                           |                | 0.37        | 1.29        | Wakatsuki and Rasyidin (1992) |
| In situ cosmogenic $^{10}\text{Be}$ and $^{26}\text{Al}$ | Northern California                                                              | na             | 0.39        | 0.91        | Heimsath et al. (1997)        |
| In situ cosmogenic $^{10}\text{Be}$                      | Middle European rivers                                                           | 10-40 Kyr      | 0.26        | 1.3         | (Schaller et al. (2001)       |
| Continental scale erosion/sedimentation                  | Global                                                                           | 542 Myr        | 0.4         | 1.4         | Wilkinson and McElroy (2007)  |
| Na                                                       | USA                                                                              | na             | 0.3         | 1.1         | Bennett (1939)                |

## Soil formation rates by dust deposition

(adapted from Goudie and Middleton,

2001)

| Location          | Dust deposition<br>(t ha <sup>-1</sup> yr <sup>-1</sup> ) |
|-------------------|-----------------------------------------------------------|
| Aegean Sea        | 0.112 - 0.365                                             |
| Southern Sardinia | 0.06 – 0.13                                               |
| Swiss Alps        | 0.004                                                     |
| French Alps       | 0.002                                                     |
| NE Spain          | 0.051                                                     |
| Corsica           | 0.12                                                      |
| Corsica           | 0.125                                                     |
| Central France    | 0.01                                                      |
| Crete             | 0.1 – 1.0                                                 |
| Crete             | 0.195                                                     |
| Pyrenees          | 0.30 – 0.39                                               |

Actual soil erosion rates in Europe (tolerable rate  $< 1.0 \text{ t ha}^{-1} \text{ yr}^{-1}$ ). For references, please see relevant sections in this paper.

| Erosion type                                             | Mean rates<br>( $\text{t ha}^{-1} \text{ yr}^{-1}$ ) | Maximum rates<br>( $\text{t ha}^{-1} \text{ yr}^{-1}$ ) | comment                                                                            | Main factors                                                     |
|----------------------------------------------------------|------------------------------------------------------|---------------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------------------|
| Rill, sheet erosion                                      | 0.1 - 8.8                                            | 23.4                                                    |                                                                                    | Land use, soil cover, slope                                      |
| Gullies                                                  | na                                                   | 455                                                     |                                                                                    | Climate, land use                                                |
| Wind erosion                                             | 0.1 - 2.0                                            | 15                                                      |                                                                                    | Soil type, soil cover, climate                                   |
| Tillage erosion                                          | 3.0 - 9.0                                            | na                                                      |                                                                                    | Soil management                                                  |
| Slope engineering                                        | na                                                   | 454                                                     |                                                                                    | Soil management                                                  |
| Crop harvesting                                          | 1.3 - 19.0                                           | na                                                      | For a variety of crops                                                             | Crop type (Table 6); soil moisture content at time of harvesting |
| Cumulative mean soil erosion rates in tilled agriculture | 3.0 - 10.0<br>3.2 - 19.8<br>4.5 - 38.8               | na                                                      | Tillage only<br>Water + wind + tillage<br>Water + wind + tillage + crop harvesting |                                                                  |

na = not available



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## **Tolerable versus actual soil erosion rates in Europe**

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